(19) World Intellectual Property Organization
International Bureau





(43) International Publication Date 16 October 2003 (16.10.2003)

PCT

(10) International Publication Number WO 03/085026 A1

- (51) International Patent Classification⁷: C08G 63/685, C07C 235/74, 235/76, 235/84
- (21) International Application Number: PCT/EP03/03665
- (22) International Filing Date: 8 April 2003 (08.04.2003)
- (25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data: MI2002A000734

8 April 2002 (08.04.2002) IT

- (71) Applicant (for all designated States except US): EU-ROTECNICA DEVELOPMENT & LICENSING S.P.A [IT/IT]; Corso Buenos Aires, 63, I-20124 Milan (IT).
- (72) Inventor; and
- (75) Inventor/Applicant (for US only): CODIGNOLA, Franco [IT/IT]; Corso Lodi, 57, I-20139 Milan (IT).
- (74) Agents: DE GREGORI, Antonella et al.; Ing. Barzano' & Zanardo Milano S.p.A., Via Borgonuovo, 10, I-20121 Milan (IT).

- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.



WO 03/085026 PCT/EP03/03665

5

15

20

25

AROMATIC GLYCOLS AND POLYOLS, PREPARATION PROCESS AND THEIR USE AS MONOMERS.

The present invention relates to aromatic glycols

10 and/or polyols, the relative use as monomers and the
preparation process.

The state of the art normally describes the use of aliphatic glycols and/or polyols as co-monomers in the production of polyester resins, unsaturated polyester resins, thermoplastic polyester resins and polyurethane resins. It is known however that the introduction of aromatic rings in the chains of polyurethane resins or polyester resins enhances the chemical properties of these structures, such as an improved resistance to corrosion, oxidation with ultraviolet rays, temperatures, etc. and also allows the physico-mechanical characteristics to be enhanced. As of today, however, it has only been possible to insert these aromatic groups in the chains through the use of pure aromatic polycarboxylic acids in the case of polyester resins or with the use of aromatic isocyanates.

10

15

20

25

Further evidence of the importance and usefulness of inserting aromatic nucleuses in the chain of polyurethane or polyester resins, is obtained when, by substituting terephthalic acid, whose polycondensation leads to the production of PET (polyethyleneterephthalate) with 2,6-naphthalenedicarboxylic acid, i.e. by introducing two benzene rings into the chain instead of one single ring, a product, PEN (polyethylenenaphthalate) is obtained, which has the following characteristics and properties.

PEN has a structure with a rigid double aromatic ring, whose presence in the polymeric chain accounts for many of the improvements which this polymer shows with respect to PET, such as, for example, a greater resistance, a higher thermal stability and improved barrier properties. PEN also has a higher glass transition temperature and a reduced gas permeability. This polymer has found extremely interesting applications in the field of films, fibres, packaging, above all for drinks and food, and is considered as being a product which can have extremely important applications.

The high cost, however, of 2,6-naphthalene-dicarboxylic acid is one of the problems (together with problems relating to disposal and mainly recycling) which has so far hindered a full development of this polymer.

The importance of finding alternative methods for

inserting aromatic groups in the chains of polyester resins, unsaturated polyester resins, thermoplastic polyester resins and polyurethane resins, is therefore evident.

The identification of alternative methods which allow the insertion, together with aromatic groups or, alternatively, other functional groups such as imine groups or double bonds, into the chains of polyester resins, unsaturated polyester resins, thermoplastic polyester resins and polyurethane resins, is also of general interest.

The present invention therefore proposes to overcome the drawbacks present in the known technique.

It has been surprisingly found that the aromatic glycols and/or polyols according to the present invention allow various functional groups to be contemporaneously inserted into polyester chains or polymeric chains, in an extremely simple and economically convenient way, and with excellent yields.

In particular, an object of the present invention relates to a compound having the following general formula (A):

$$R(CONH-CHR_1OH)_m$$
 (A)

wherein:

R represents a residue obtained by substituting m
 hydrogen atoms by a compound selected from

20

$$(R_2)_n$$
 or $(R_2)_p$

or a saturated aliphatic chain, linear or branched, having from 2 to 18 carbon atoms or an unsaturated aliphatic chain, linear or branched, having from 2 to 18 carbon atoms and with at least one double bond;

wherein R_2 , the same or different when n, p or q are greater than or equal to 2, represents a linear or branched alkyl group, having from 1 to 18 carbon atoms;

n varies from 0 to 4;

10

15

p varies from 0 to 6;

q varies from 0 to 8;

- 20 R₁, the same or different, represents a hydrogen atom, an alkyl group optionally substituted, having from 1 to 6 carbon atoms or an aromatic group optionally substituted;
 - m is equal to 2, 3 or 4.
- 25 In particular, when R represents a phenyl radical

20

25

and m is equal to 2, the substituents $-(CONH-CHR_1OH)_m$ can be in ortho, meta or para position, respectively, preferably in meta or para position.

When R represents a phenyl radical and m is equal to 3, the substituents $-(CONH-CHR_1OH)_m$ are preferably in position 1,3,5 or 1,2,4.

In particular, when R represents a phenyl radical and m is equal to 4, the substituents $-(CONH-CHR_1OH)_m$ are preferably in position 1,2,4,5.

Preferably, when R represents a naphthalene radical and m is equal to 2, the substituents $-(CONH-CHR_1OH)_m$ are respectively in position 2 and 6.

When R represents a biphenyl radical and m is equal to 2, the substituents $-(CONH-CHR_1OH)_m$ are in para position.

m is preferably equal to 2.

n, p and q are preferably equal to 0 or 1.

A further object of the present invention relates to the use of the compound having general formula (A) as monomer in polycondensation and polymerization reactions.

In particular, the compound according to the present invention can be used as monomer in polycondensation and/or polymerization reactions with suitable comonomers to produce saturated and unsaturated polyester resins with aromatic polyacids, polyamide resins, polyurethane

resins or liquid crystal polymers.

A further object of the present invention relates to the polymer obtained by the polycondensation of terephthalic acid with the glycol of 1,4-benzenedicarboxyamide which has the following structure:

 $\label{eq:homogeneous} \begin{array}{l} H\text{--}[O\text{--}CO\text{--}C_6H_4\text{--}CO\text{--}CH_2\text{--}NH\text{--}CO\text{--}C_6H_4\text{--}CO\text{--}NH\text{--}CH_2]_r\text{--}OH \\ \\ \text{wherein r is greater than or equal to 4.} \end{array}$

The main advantage of the compound according to the present invention is that it allows the contemporaneous insertion into polyester or polymeric chains of several functional groups, in an extremely simple and economically convenient way, with excellent yields. In particular, the contemporaneous insertion of aromatic rings and -CONH- groups, is particularly advantageous. The -CONH- groups enhance resistance to high temperatures and allow a reduction in the formation of by-products (for example acetaldehyde) of the polymers during the subsequent melting and extrusion.

The insertion of the double bond which allows the 20 hardening of the thermosetting unsaturated polyester resins, is also particularly advantageous.

Another advantage of the polymers obtained by the polycondensation and/or polymerization of the aromatic glycols or polyols according to the present invention is that their properties are such as to allow them to be

25

used for the production of containers, for example bottles, with an increased impermeability of the wall of the container both from the inside towards the outside of the container (CO_2) , and also from the outside towards the inside of the container (O_2) , thus allowing it to be used for an improved packaging of carbonated drinks and products sensitive to oxygen such as wines, beer, liquors, soft drinks, food substances.

The compound according to the present invention having general formula (A), is prepared by means of the following reaction:

 $R (CONH_2)_m + R_1-CHO \rightarrow R (CONH-CHR_1OH)_m$

wherein R, m and R_1 have the meanings previously indicated, and i.e. by reaction of the corresponding amide in a slightly basic solution with the aldehyde suitably substituted at a temperature ranging from 10°C to 180°C, at a pressure ranging from 0 to 15 atm and for a time which varies from 5 minutes to 5 hours.

The reaction is preferably carried out in the pres-20 ence of a basic anionic resin insoluble in the reaction medium, wherein the reaction medium is water. The temperature preferably varies from 60°C to 120°C.

The pressure is preferably within the range of 2 to 5 atm, whereas the reaction is preferably carried out for a time varying within the range of 20 minutes to 1 hour.

15

20

25

 $R(-CONH_2)_m$ is preferably selected from amides of terephthalic acid, isophthalic acid, 2,6-naphthalenedicarboxylic acid, trimesic acid, pyromellitic acid or trimellitic acid.

 R_1 CHO is preferably selected from formaldehyde and benzaldehyde.

A first application example of the compound according to the present invention is its use as monomer for the production of a thermosetting, unsaturated, orthophthalic polyester resin.

According to the state of the art, the thermosetting, orthophthalic unsaturated polyester resin is prepared by the reaction of phthalic anhydride with the first comonomer which is propylene glycol and with the second comonomer which is maleic anhydride to have the double bond which forms the bridge with styrene.

With the use of the compound according to the present invention, obtained from the amide of maleic anhydride and the aromatic glycol already containing the functional groups (double bond and aromatic ring), resins are obtained with much better physico-chemical characteristics.

The advantage is that the comonomer (in the case of the resin with phthalic anhydride) is a glycol which, in addition to the presence of the necessary functional groups, such as the phenyl ring and double bond, and consequently in addition to providing the end-product with the properties associated with the presence of said functional groups, has much lower costs than those of propylene glycol.

The characteristics and advantages of the composition according to the present invention can be better understood with the help of the following detailed and illustrative description.

10 EXAMPLE 1

15

20

A solution containing 1 mole of maleic diamide equal to 114.07 g in 500 g of methyl alcohol and a solution of 2.05 moles of formaldehyde in the form of a solution in water at 40%, are fed to a static mixer which also acts as heater and the liquid mixture of the two products indicated above is brought to a temperature of 70°C.

The mixture is then directly injected into a reactor containing an anionic resin, Amberliste XE275, the feeding rate of the mixture being regulated so that the residence time is not less than 1.5 minutes and not more than 5 minutes.

The mixture thus treated is fed to a fractionation column for the recovery of the methyl alcohol.

The aqueous mixture obtained at the bottom of the fractioning column is extracted with ethyl ether; after

evaporation of the ethyl ether, glycol is obtained $HOCH_2-CONH-CH=CH-CONH-CH_2OH$ with yields higher than 98%.

EXAMPLE 2

5

10

15

20

A solution containing 1 mole of adipic diamide equal to 144.18 g in 500 g of methyl alcohol and a solution of 2.05 moles of formaldehyde in the form of a solution in water at 40%, are fed to a static mixer which also acts as heater and the liquid mixture of the two products indicated above is brought to a temperature of 70°C.

The mixture is then directly injected into a reactor containing an anionic resin, Amberliste XE275, the feeding rate of the mixture being regulated so that the residence time is not less than 1.5 minutes and not more than 5 minutes.

The mixture thus treated is fed to a fractionation column for the recovery of the methyl alcohol.

The aqueous mixture obtained at the bottom of the fractioning column is extracted with ethyl ether; after evaporation of the ethyl ether, glycol is obtained $HOCH_2-CONH-(CH_2)_4-CONH-CH_2OH$ with yields higher than 98%.

EXAMPLE 3

A solution containing 1 mole of isophthalic diamide 25 equal to 164.13 g in 500 g of methyl alcohol and a solu-

WO 03/085026 PCT/EP03/03665

tion of 2.05 moles of formaldehyde in the form of a solution in water at 40%, are fed to a static mixer which also acts as heater and the liquid mixture of the two products indicated above is brought to a temperature of 70°C.

The mixture is then directly injected into a reactor containing an anionic resin, Amberliste XE275, the feeding rate of the mixture being regulated so that the residence time is not less than 1.5 minutes and not more than 5 minutes.

The mixture thus treated is fed to a fractioning column for the recovery of the methyl alcohol.

The aqueous mixture obtained at the bottom of the fractioning column is extracted with ethyl ether; after evaporation of the ethyl ether, glycol is obtained

with yields higher than 98%.

15

5

WHAT WE CLAIM IS

1. A compound having the following general formula (A): $R \left(\text{CONH-CHR}_1 \text{OH} \right)_m \qquad \text{(A)}$

5 wherein:

R represents a residue obtained by substituting m hydrogen atoms by a compound selected from

$$(R_2)_n \qquad or \qquad (R_2)_p$$
or
$$(R_2)_p$$

15

20

or a saturated aliphatic chain, linear or branched, having from 2 to 18 carbon atoms or an unsaturated aliphatic chain, linear or branched, having from 2 to 18 carbon atoms and with at least one double bond;

wherein R_2 , the same or different when n, p or q are greater than or equal to 2, represents a linear or branched alkyl group, having from 1 to 18 carbon atoms;

n varies from 0 to 4;

- p varies from 0 to 6; q varies from 0 to 8;
- R₁, the same or different, represents a hydrogen atom, an alkyl group optionally substituted, having from 1 to 6 carbon atoms or an aromatic group optionally substituted;
 - m is equal to 2, 3 or 4.
- The compound according to claim 1, characterized in that, when R represents a phenyl radical and m is equal to 2, the substituents (CONH-CHR₁OH)_m are in ortho, meta or para position.
 - 3. The compound according to claim 2, characterized in that, the substituents $-(CONH-CHR_1OH)_m$ are in meta or para position.
- 15 4. The compound according to claim 1, characterized in that, when R represents a phenyl radical and m is equal to 3, the substituents $-(CONH-CHR_1OH)_m$ are in position 1,3,5 or 1,2,4.
- 5. The compound according to claim 1, characterized in that, when R represents a phenyl radical and m is equal to 4, the substituents -(CONH-CHR₁OH)_m are in position 1,2,4,5.
 - 6. The compound according to claim 1, characterized in that, when R represents a naphthalene radical and m is equal to 2, the substituents $-(CONH-CHR_1OH)_m$ are in posi-

tion 2 and 6.

5

25

- The compound according to claim 1, characterized in that, when R represents a biphenyl radical and m is equal to 2, the substituents $-(CONH-CHR_1OH)_m$ are in para position.
- The compound according to claim 1, characterized in 8. that m is equal to 2.
- The compound according to claim 1, characterized in that n, p and q are equal to 0 or 1.
- 10. Use of the compound having the following general 10 formula (A)

$$R (CONH-CHR_1OH)_m$$
 (A)

wherein:

R represents a residue obtained by substituting mhydrogen atoms by a compound selected from 15

$$(R_2)_n$$
 or $(R_2)_p$

or a saturated aliphatic chain, linear or branched, having from 2 to 18 carbon atoms or an unsaturated aliphatic chain, linear or branched, having from 2 to 18 carbon atoms and with at least one double bond;

wherein R₂, the same or different when n, p or q are greater than or equal to 2, represents a linear or branched alkyl group, having from 1 to 18 carbon atoms;

n varies from 0 to 4;

p varies from 0 to 6;

- 10 q varies from 0 to 8;
 - R₁, the same or different, represents a hydrogen atom, an alkyl group optionally substituted, having from 1 to 6 carbon atoms or an aromatic group optionally substituted;
- 15 m is equal to 2, 3 or 4
 as monomer in polycondensation and polymerization reac-
 - 11. Use of a compound according to one of the claims from 2 to 9 as monomer in polycondensation and polymeri-
- 20 zation reactions.

tions.

25

12. The use according to claim 10, wherein the polycondensation and/or polymerization reactions are reactions with the corresponding comonomers to produce saturated or unsaturated polyester resins with aromatic polyacids, polyamide resins, polyurethane resins, liquid crystal

resins or polymers, PET.

13. A polymer which can be obtained by the polycondensation of terephthalic acid with the glycol of 1,4-benzenedicarboxyamide having the following structure

16

- 5 $H-[O-CO-C_6H_4-\dot{C}O-O-CH_2-NH-CO-C_6H_4-CO-NH-CH_2]_r-OH$ wherein r is greater than or equal to 4.
 - 14. Use of the polymer according to claim 13 for the production of packaging containers.
- 15. Use of the polymer according to claim 13 for the production of packaging containers for carbonated drinks and products sensitive to oxygen such as wines, beer, liquors, soft drinks, food substances.
 - 16. A process for the preparation of the compound according to one of the claims from 1 to 9, according to the following reaction

 $R(CONH_2)_m + R_1-CHO \rightarrow R(CONH-CHR_1OH)_m$

15

wherein R, m and R_1 have the meanings previously indicated, in a slightly basic solution

- at a temperature ranging from 10°C
- 20 to 180°C, at a pressure ranging from 0 to 15 atm and for a time which varies from 5 minutes to 5 hours.
 - 17. The process according to claim 16, characterized in that the reaction is carried out in the presence of a basic anionic resin insoluble in the reaction medium,
- 25 wherein the reaction medium is water.

- 18. The process according to claim 16, characterized in that the temperature varies from 60°C to 120°C.
- 19. The process according to claim 16, characterized in that the pressure is within the range of 2 to 5 atm.
- 5 20. The process according to claim 16, characterized in that the reaction is carried out for a time varying from 20 minutes to 1 hour.
 - 21. The process according to claim 16, characterized in that $R(-\text{CONH}_2)_m$ is selected from amides of terephthalic
- 10 acid, isophthalic acid, 2,6-naphthalenedicarboxylic acid, trimesic acid, pyromellitic acid or trimellitic acid.
 - 22. The process according to claim 16, characterized in that $R_1 \text{CHO}$ is selected from formaldehyde or benzaldehyde.

INTERNATIONAL SEARCH REPORT

Internati Application No
PCT/EP 03/03665

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 C08G63/685 C07C235/74 C07C235/76 C07C235/84

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols) $IPC\ 7\ C07C\ C08G$

Documentation searched other than minimum documentation to the extent that such documents are included. In the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, BEILSTEIN Data, CHEM ABS Data

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to daim No.
х	US 3 929 731 A (LEMAN MUSTAFA KYZY VOLKOVA ET AL.) 30 December 1975 (1975-12-30) claims; examples 22-27	1-3,8-12
X	CHEMICAL ABSTRACTS, vol. 27, no. 18, 20 September 1933 (1933-09-20) Columbus, Ohio, US; abstract no. 4698, GEORG WALTER ET AL.: "Resins from Aryl Sulfonamido Carboxylic Acid Amides and the Products Obtained from Aryl Dicarboxylic Acid Amides" page 4698; XP002230159 page 4698, line 17 - line 24 & KOLLOID-BEIHEFTE, vol. 37, - 1933 pages 378-384,	1-4,8,9, 16-22

X Further documents are listed in the continuation of box C.	Patent family members are listed in annex.
Special categories of cited documents: 'A' document defining the general state of the art which is not considered to be of particular relevance 'E' earlier document but published on or after the international filing date 'L' document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) 'O' document reterring to an oral disclosure, use, exhibition or other means 'P' document published prior to the international filing date but later than the priority date claimed	 "T° later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention. "X' document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone. "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family
Date of the actual completion of the international search	Date of mailing of the international search report
18 August 2003	28/08/2003
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk	Authorized officer
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Zervas, B

INTERNATIONAL SEARCH REPORT

Internati Application No
PCT/EP 03/03665

		PCT/EP 03/03665						
C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT								
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.						
X	DE 10 02 326 B (FARBENFABRIKEN BAYER) 14 February 1957 (1957-02-14) example 5	1						
X	US 2 364 737 A (FRANK CLIFTON MCGREW) 12 December 1944 (1944-12-12) claims; examples I,,III-V							

INTERNATIONAL SEARCH REPORT

Information on patent family members

Internation Application No
PCT/EP 03/03665

Patent document cited in search report		Publication date	Patent family member(s)		Publication date	
US 3929731	A	30-12-1975	SU	518506 A1	25-06-1976	
			BE	816966 A1	27-12-1974	
			CA	1027696 A1	07-03-1978	
			CS	177353 B1	29-07-1977	
			DE	2431032 A1	16-01-1975	
			FR	2244783 A1	18-04-1975	
			GB	1471983 A	27-04-1977	
			HU	172278 B	28-07-1978	
		•	ΙT	1019668 B	30-11-1977	
			NL	7408640 A ,B	31-12-1974	
			RO	77477 A1	04-11-1981	
DE 1002326	В	14-02-1957	NONE			
US 2364737	Α	12-12-1944	NONE			